

## PATENT COOPERATION TREATY

From the INTERNATIONAL BUREAU

PCT

NOTIFICATION OF ELECTION  
(PCT Rule 61.2)Date of mailing (day/month/year)  
29 August 2001 (29.08.01)

To:

Commissioner  
 US Department of Commerce  
 United States Patent and Trademark  
 Office, PCT  
 2011 South Clark Place Room  
 CP2/5C24  
 Arlington, VA 22202  
 ETATS-UNIS D'AMERIQUE

in its capacity as elected Office

International application No.  
PCT/US00/41383Applicant's or agent's file reference  
92271-9013International filing date (day/month/year)  
20 October 2000 (20.10.00)Priority date (day/month/year)  
26 October 1999 (26.10.99)

Applicant

LAMMERS, Karen, Marie

1. The designated Office is hereby notified of its election made:

 in the demand filed with the International Preliminary Examining Authority on:

27 April 2001 (27.04.01)

 in a notice effecting later election filed with the International Bureau on:

2. The election
- 
- was

 was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO  
34, chemin des Colombettes  
1211 Geneva 20, Switzerland

Authorized officer

Fiona DOHERTY

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REC'D 14 AUG 2001  
WIPO PCT

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>92271-9015</b>	FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. <b>PCT/US00/41383</b>	International filing date (day/month/year) <b>20 OCTOBER 2000</b>	Priority date (day/month/year) <b>26 OCTOBER 1999</b>
International Patent Classification (IPC) or national classification and IPC IPC(7): A01N 43/38; A61K 31/40 and US Cl.: 514/419		
Applicant <b>THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER</b>		

<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>3</u> sheets.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority. (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of <u>1</u> sheets.</p> <p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li>I <input checked="" type="checkbox"/> Basis of the report</li> <li>II <input type="checkbox"/> Priority</li> <li>III <input type="checkbox"/> Non-establishment of report with regard to novelty, inventive step or industrial applicability</li> <li>IV <input type="checkbox"/> Lack of unity of invention</li> <li>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability, citations and explanations supporting such statement</li> <li>VI <input type="checkbox"/> Certain documents cited</li> <li>VII <input type="checkbox"/> Certain defects in the international application</li> <li>VIII <input type="checkbox"/> Certain observations on the international application</li> </ul>
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Date of submission of the demand <b>27 APRIL 2001</b>	Date of completion of this report <b>20 JULY 2001</b>
Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-5230	<p>Authorized officer    <b>DWAYNE C. JONES</b></p> <p>Telephone No. (703) 308-1235</p>

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.  
PCT/US00/41983

## V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

## 1. statement

## Novelty (N)

Claims 1-23 YES  
Claims NONE NO

## Inventive Step (IS)

Claims 1-23 YES  
Claims NONE NO

## Industrial Applicability (IA)

Claims 1-23 YES  
Claims NONE NO

## 2. citations and explanations (Rule 70.7)

Claims 1-23 meet the criteria set out in PCT Article 33(2)-(4), because the prior art does not teach or fairly suggest a method of treating hair loss with indoline compounds disclosed in the instant specification in addition to compositions containing minoxidil and the instantly claimed indoline compounds.

————— NEW CITATIONS ————  
NONE

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US00/41383

**L Basis of the report**

## 1. With regard to the elements of the international application:\*

 the international application as originally filed the description:

pages 1-42 , as originally filed  
 pages NONE , filed with the demand  
 pages NONE , filed with the letter of \_\_\_\_\_

 the claims:

pages 43-50 , as originally filed  
 pages NONE , as amended (together with any statement) under Article 19  
 pages NONE , filed with the demand  
 pages NONE , filed with the letter of \_\_\_\_\_

 the drawings:

pages NONE , as originally filed  
 pages NONE , filed with the demand  
 pages NONE , filed with the letter of \_\_\_\_\_

 the sequence listing part of the description:

pages NONE , as originally filed  
 pages NONE , filed with the demand  
 pages NONE , filed with the letter of \_\_\_\_\_

2. With regard to the language, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.  
These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

- the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).
- the language of publication of the international application (under Rule 48.3(b)).
- the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).

## 3. With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- contained in the international application in printed form.
- filed together with the international application in computer readable form.
- furnished subsequently to this Authority in written form.
- furnished subsequently to this Authority in computer readable form.
- The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4.  The amendments have resulted in the cancellation of:

- the description, pages NONE
- the claims, Nos. NONE
- the drawings, sheets/fig NONE

5.  This report has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\*Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US00/41583

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A01N 45/38; A61K 31/40

US CL : 514/419

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 514/419

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  
**NONE**

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

Please See Extra Sheet.

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5,118,703 A (REITER et al.) 02 June 1992, see entire document.	1-23
Y	US 5,859,042 A (LEE et al.) 12 January 1999, see entire document.	1-23

Further documents are listed in the continuation of Box C.  See patent family annex.

* Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"G"	document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

09 MARCH 2001

Date of mailing of the international search report

26 MAR 2001

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
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Washington, D.C. 20231  
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Authorized Office

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**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US00/41583

**B. FIELDS SEARCHED**

Electronic data bases consulted (Name of data base and where practicable terms used):

REGISTRY, CA, USPATFULL, WPIDS, MEDLINE, BIOSIS, TOXLIT, TOXLINE structure search with the following terms: minoxidil, antiinflammatot#### or anti-inflammatot#####, indol#### or indolinyl#### or indolin####, thiophene or thiophenyl####, alopecia, propecia or rogaine, hair(5a)(growth or renewal or regenerat####)